

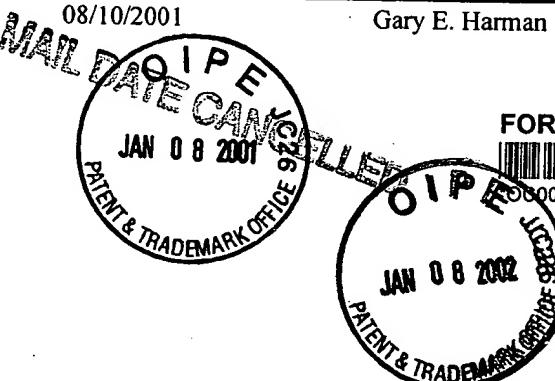


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927,966	08/10/2001	Gary E. Harman	19603/3461 (CRF D-2659A)

Michael L. Goldman, Esq.
NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, NY 14603-1051



CONFIRMATION NO. 6010

FORMALITIES LETTER



Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

SRA
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

1/11/2002 CVORACHA 00000022 09927966

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gary E. Harman

Serial No. : 09/927,966

Cnfrm. No. : 6010

Filed : August 10, 2001

For : PROMOTING DEEPER ROOT DEVELOPMENT, REDUCING NITROGEN FERTILIZER USAGE, IMPARTING DROUGHT RESISTANCE, AND INCREASING TOLERANCE TO ADVERSE SOIL CONDITIONS IN PLANTS

TRANSMITTAL LETTER

Commissioner For Patents
 Washington, D.C. 20231
Box: Missing Parts

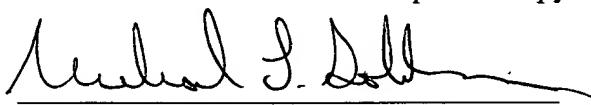
Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(b), which was mailed by the United States Patent and Trademark Office on September 17, 2001, enclosed are:

- [X] A Combined Declaration and Power of Attorney.
- [X] Applicant claims small entity status. (See 37 CFR 1.27.)
- [X] A copy of the Notice to File Missing Parts.
- [X] A self-addressed, prepaid postcard for acknowledging receipt.
- [X] Fees as calculated below:

FEE FOR (ONE, TWO OR THREE)-MONTH EXTENSION OF TIME	\$
SURCHARGE 37 CFR 1.16(e)	\$65.00
ADDITIONAL FEES	\$
TOTAL FEES SUBMITTED HEREWITH	\$65.00

- [X] A check in the amount of \$65.00 to cover the above fees.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.

Date: November 6, 2001


Michael L. Goldman
 Registration No. 30,727

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RS33136.1

Certificate of Mailing - 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below.	
11/6/01	Jo Ann Whalen
Date	Jo Ann Whalen